U.S. APPLICATION NO.		FIRST !	NAMED APPLICANT		ATTY, DOCKET NO.
09/78626	3	MATHER		Α΄	2010358
					VAL APPLICATION NO.
HERBERT H FINN			;		GB99/02906
181 WEST MADISC		JITE 3800		, , , , ,	200,02000
CHICAGO, IL 60601				I.A. FILING DATE	PRIORITY DATE
				03 SEP 99	04 SEP 98
1					11
Mommara				DATE MAILED:	
NOTIFICATION	N OF MISSI	NG REQUIREME	ENTS UNDE	R 35 U.S.C. 371	IN THE UNITED
1 The following items	STATES D	ESIGNATED/ELE	CTED OFF	ICE (DO/EO/US)	1
1. The following items Office as	Designated Office	e (37 CFR 1.494)	r the IB to the U an Elected Office	nited States Patent and ce (37 CFR 1 495):	Trademark
U.S. Basic	National Fee.	☐ Indica	ation of Small Er		
	international ap		lation of the inte	ernational application is	nto English.
	claration of inve ticle 19 amendm			19 amendments into E	inglish.
Priority Do		ents. Other	•		
The Interna	tional Prelimina	ry Examination Report	in English and it	s Annexes, if any.	
Translation	of Annexes to the	ne International Prelimi	nary Examination	n Report into English.	
2. Applicant has requ	iested early prod	essing under 35 H S C	371(f) but has a	not filed the fallencin-	Sautharra de
the indicated items in par	ragraph 3 below	. The Basic National F	ee and the copy	of the international and	indicated items and/or
prior to 20 or 30 months	from the priorit	y date to avoid abandon	ment.		meation must be fried
U.S. Basic	National Pec.	□ Сору	of the internation	nal application.	
3. The following items I acceptance under 35 U.S	MUST be furnis .C. 371:	hed within the period se	t forth below in	order to complete the	requirements for
a. Translatio	on of the applica	tion into English. A pro-	ocessing fee will	be required if submitt	ed
later th	an the appropria	ite 20 or 30 months from is defective for the reas	n the priority da	te.	f D - f'.
Transl	ation.				
b. Processin	g fee for providi	ng the translation of the	application and	or the Annexes later t	han the
approp c. Oath or d	riate 20 or 30 m eclaration of the	onths from the priority inventors, in compliant	date (37 CFR 1.	492(f)).	arly identifying
the app	lication (prefera	bly by the International red if submitted later the	application num	ber and international f	iling date) A
date. [X] The cut	rrent oath or dec	laration does not compl			
indicate	ed on the attache	d PCT/DO/EO/917. ne oath or declaration la			
priority	date (37 CFR 1	.492(e)).			
4. Additional claim fees claim fee, are required. due (37 CFR 1.492(g)).	Applicant must s	as a large entity [ubmit the additional cla 2-875	small entity, im fees or cance	including any required I the additional claims	I multiple dependent for which fees are
 Applicant has not s PCT/DO/EO/920. 	uomitted the req	uired sequence listing p	ursuant to 37 CF	R 1.821-1.825. See	attached
ALL OF THE ITEMS S MONTHS FROM THE THE PRIORITY DATE RESPOND WILL RESU	DATE OF THI FOR THE API	S NOTICE OR BY 22 PLICATION, WHICH	OR 32 MONTI	HS (where 37 CFR 1.	495 applies) FROM
The time period set above 1.136(a).	may be extende	d by filing a petition an	d fee for extensi	on of time under the p	rovisions of 37 CFR
6. If box 3a or 3c is check Annexes will be cancelled 7. The Article 19 amer or 30 (37 CFR 1.495(d)) r	. A processing ndments are can	fee will be required if so celled since a translation	ubmitted later the	an 20 or 30 months fro	om the priority date
Applicant is reminded that address given in the heading	any communica ng and include th	tion to the United State ne U.S. application no.	s Patent and Tra shown above. (3	demark Office must be 7 CFR 1.5)	mailed to the
A c Enclosed:		notice MUST be Notice of Defection PCT/DO/EO/920	ve Translation	•	·.
FORM PCT/DO/EO/905 (March 2001)			ooker, Paralegal	_
C.M. I CIIDOILOISOS			rerebnone;	703-305-3738	Om 11 -
			DOCKETE	D FOR 8/11,	101 require

FORM PCT/DO/EO/905 (March 2001)

Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231 www.uspto.gov

Tarp of P					•	Washington, D.C.
U.S. A	PPLICATION NO.		FIRST NAMED APPLICANT		A.	TTY, DOCKET NO.
	09/786263		MATHER	Α .	·—··	2010358
					TIONAL API	PLICATION NO.
HER	BERT H FINN			PC	T/GB99	(02006
181 \	WEST MADISON STREET S	UITE 3800		1	170000	702900
CHIC	AGO, IL 60601			I.A. FILING DA	ATE	PRIORITY DATE
1				03 SEP	99	04 SEP 98
1						
				DATE MAI	LED: L	1 JUN 2001
NO	TIFICATION OF MISSI	NG REO	UIREMENTS LINDE	D 35 II C C 25	 71 DN 71	1007
	STATES D	ESIGNA'	TED/ELECTED OFF	ICF MA/FA/I	16) T 114 T	HE UNITED
1. The	following items have been subm	nitted by the	applicant or the IB to the U	Inited States Patent	and Trad	lemark
Office	as a Designated Office	ce (37 CFR	1.494) an Elected Offic	ce (37 CFR 1.495):	:	iciliai k
	U.S. Basic National Fee.		Indication of Small Er	ntity Status.		
	Copy of the international ap	oplication.	Translation of the inte	rnational application	n into E	nglish.
	Oath or Declaration of inve		Translation of Article	19 amendments int	o English	h.
	Priority Document.	icits.	Other:			
	The International Prelimina	ry Examinat	ion Report in English and it	S Anneves if any		
	Translation of Annexes to the	he Internatio	nal Preliminary Examination	n Report into Facili	sh	
2. 🔀 A	pplicant has requested early proc	essing unde	r 35 U.S.C. 371(f) but has r	not filed the followi	ng indica	ited items and/or
prior to	cated items in paragraph 3 below 20 or 30 months from the priorit	. The Basic	National Fee and the copy	of the international	applicati	on must be filed
prior to	U.S. Basic National Fee.	y date to ave	Copy of the internation	nal application		
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3. The	following items MUST be furnis ice under 35 U.S.C. 371:	hed within t	he period set forth below in	order to complete	he requi	rements for
accoptan	a. Translation of the applica	tion into En	glish. A processing fee will	he required if sub-	nittad	
	later than the appropria	te 20 or 30	months from the priority da	te		
	The current translation	is defective	for the reasons indicated on	the attached Notic	e of Defe	ective
	Translation.	ing the trees	lation of the sur-live in			
	b. Processing fee for providing appropriate 20 or 30 m	onths from 1	he priority date (37 CFR 1.	or the Annexes lat	er than th	ne
	c. Oath or declaration of the	inventors, i	n compliance with 37 CFR	492(1)). 1.497(a) and (b). pi	roperty ic	lentifying
	the application (prefera	bly by the Ii	ternational application num	her and internation	al filing o	fate) A
	surcharge will be requi	red if submi	tted later than the appropriat	te 20 or 30 months	from the	priority
		laration doe	s not comply with 37 CFR 1	1.497(a) and (b) for	the reas	nns
	indicated on the attache	d PCT/DO/	EO/917.			
	d. Surcharge for providing the	ne oath or de	claration later than the appr	opriate 20 or 30 m	onths fro	m the
4. Addit	priority date (37 CFR 1 ional claim fees of \$		arge entity - cmall entity	including and		
	, are required. Applicant must s	ubmit the ac	arge entity small entity, Iditional claim fees or cance	the additional clai	ms for u	ipie dependent
due (37 C	CFR 1.492(g)). See attached PTG	O-875.	toto or cance	r die additional elai	1113 101 W	men ices are
5. C An	plicant has not submitted the req	nired segmen	sea licting pursuant to 27 CE	SD 1 021 1 025 - 6		
PCT/DO	/EO/920.	anea sequei	ice fishing pursuant to 37 Cr	K 1.021-1.023. S	ee attacn	ea
MONTH	THE ITEMS SET FORTH IN S FROM THE DATE OF THE	3(a)-3(d), 4	AND 5 ABOVE MUST B	E SUBMITTED V	VITHIN	TWO (2)
THE PR	ORITY DATE FOR THE API	PLICATION	V, WHICHEVER IS LATI	AS (where 37 CFR ER. FAILURE TO	. 1.495 a O PROP	pplies) FROM ERLV
RESPON	D WILL RESULT IN ABAND	ONMENT.			J I KOI	
The time	period set above may be extende	d hy filing a	netition and fee for extension	an of time under th		627 GED
1.136(a).	particular accordingly be extended	a oy ming a	petition and ree for extension	on of time under th	e provisi	ons of 37 CFR
6 If box	20 on 20 in absolute a survey of the	6	Lettom.			
Annexes v	3a or 3c is checked, a translation will be cancelled. A processing to	ioiune Ann fee will be n	exes MUST be submitted no equired if submitted later tha	o later than the time	period s	set above or the
7. ☐ The	Article 19 amendments are can	celled since	a translation was not provide	ed by the appropria	te 20 (37	CFR 1.494(d))
or 30 (37	CFR 1.495(d)) months from the	priority date	÷.			
Applicant	is reminded that any communica	tion to the I	Inited States Patent and Ton	demark Office	t haa:1	ad to the
address gi	ven in the heading and include th	e U.S. appl	ication no. shown above. (3	7 CFR 1.5)	oc mail	ed to the
Englosed	A copy of this	nouce M	UST be returned wi	th this respon	se.	
Enciosed:	PCT/DO/EO/917 PTO-875		of Defective Translation			
	U. 10-9/2	Urc1/1	00/E0/920 Pat Ro	ooker Paralena	ı	

Telephone: 703-305-3738

Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

				www.uspto	
U.S. APPLICATION NO.		FIRST NAMED APPLICAN	r r	ATTY. DOCKET NO.	
09/786263 HERBERT H FINN 81 WEST MADISON STREET SUITE 3800		MATHER	Α	2010358	
			INTERNATIONAL APPLICATION NO.		
		:	PCT/GB99/02906		
CHICAGO, IL 606	01		I.A. FILING D.	ATE PRIORITY DATE	
			03 SEP 9	99 04 SEP 98	

DATE MAILED

11 JUN 2001

NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371(c)(4) for entry into the national stage in the United States of America. The period within which to correct the deficiency noted below and avoid abandonment is set in the accompanying Notification.

A new oath or declaration, properly identifying this application (preferably by the international application number and international filing date) is required. The oath or declaration does not comply with 37 CFR 1.497(a),(b) and (f) in that it:

. x	is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
. 🗔	does not identify the application to which it is directed.
). H	does not identify the inventor(s).
ŀΠ	does not identify the citizenship of each inventor.
	does not state that the person making the oath or declaration believes the named inventor or inventors
	to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b), AND 1.497(d) WHERE APPROPRIATE, WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

	may, me came of decidation does not comply with 37 CTR 1.03 in that it.
1.	does not identify the mailing address of each inventor. If the residence is different from the mailing address, then the city and state or city and foreign country of residence of each inventor must also be given.
2.	does not state that the person making the oath or declaration:
а	has reviewed and understands the contents of the application, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
b	acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.
3.	does not identify the foreign application for patent or inventor's certificate for which a claim for priority is made pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.
	\ Pat Booker, Paralegal
	Telephone: 703-305-3738

FORM PCT/DO/EO/917 (March 2001)